

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tracy L. Lentz et al. Examiner: Unassigned  
Serial No.: 09/995,068 Group Art Unit: Unassigned  
Filed: November 26, 2001 Docket: H10002450 US (H161.110.101)  
Title: SYSTEM AND METHOD FOR CONTROLLING AN ULTRAVIOLET AIR  
TREATMENT DEVICE FOR RETURN AIR DUCT APPLICATIONS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicants further request a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' representative at

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Title: SYSTEM AND METHOD FOR CONTROLLING AN ULTRAVIOLET AIR TREATMENT DEVICE FOR RETURN AIR DUCT APPLICATIONS

the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Tracy L. Lentz et al.,

By their attorneys,

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701 Fourth Avenue South

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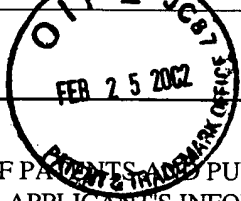
Facsimile: (612) 573-2005

Dated: February 13, 2002  
TAC:jmc

Timothy A. Czaja  
Timothy A. Czaja  
Reg. No. 39,649

**CERTIFICATE UNDER 37 C.F.R. 1.8:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Commissioner for Patents, Washington, D.C., 20231 on this 13<sup>th</sup> day of February, 2002.

By Timothy A. Czaja  
Name: Timothy A. Czaja

FORM PTO-1449	Docket No.: H161.110.101	Serial No.: 09/995,068
<p style="text-align: center;">             LIST OF PATENTS AND PUBLICATIONS FOR            APPLICANT'S INFORMATION            DISCLOSURE STATEMENT         </p>	Applicant: Tracy L. Lentz et al.	
	Filing Date: November 26, 2001	Group Art: Unknown

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,107,687	04/28/1992	Candeloro			
AB	5,200,156	04/16/1993	Wedekamp			
AC	5,225,167	07/06/1993	Wetzel			
AD	5,601,619	02/11/1997	Drechsler			
AE	5,635,133	06/03/1997	Glazman			
AF	5,742,063	04/21/1998	Scroggins et al.			
AG	5,755,103	05/26/1998	Na et al.			
AH	5,935,525	08/10/1999	Lincoln et al.			
AI	5,968,455	10/19/1999	Brickley			
AJ	6,022,511	02/08/2000	Matschke			
AK	6,063,170	05/16/2000	Deibert			
AL	6,264,802	07/24/2001	Kamrukov et al.			
AM	6,280,686	08/28/2001	Scheir et al.			
AN						

### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translated Yes No
AO						Yes
AP						Yes
AQ						Yes

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Honeywell Brochure, "Comfort, Energy & Health Solutions," © Honeywell 2001.
AS	Owner's Guide, "Enviraicare Elite—UV100E Ultraviolet Air Treatment System," 8 pgs, © Honeywell 2001.
AT	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Information Disclosure Statement (2 pgs.).
- ☒ Form PTO-1449 (1 pgs.).
- ☒ A copy of (13) U.S. Patent Document(s), (0) Foreign Document(s), and (2) Other Art Document(s).
- ☒ Return Postcard.

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Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.  
701 Building, Suite 1250  
701 Fourth Avenue South  
Minneapolis, MN 55415

By: Timothy A. Czaja  
Name: Timothy A. Czaja  
Reg. No.: 39,649

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